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F10/16 Receipt 2/21

PTO/SB/21 (08-03)
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/040,293	
	Filing Date	October 29, 2001	
	First Named Inventor	Eduard K. de Jong	
	Art Unit	2151	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	11	Attorney Docket Number	SUN-P7015

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Request to correct filing receipt; Copy of preliminary Amendment filed 2/25/02, transmittal letter and postcard; Copy of response to request for corrected FR; and copy of FR showing requested change.
		Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John P. Schaub, Reg. No. 42,125
Signature	<i>Mr. P. Schaub</i>
Date	9/24/03

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Monica Pizarro		
Signature	<i>Monica Pizarro</i>	Date	9/24/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Eduard K. de Jong et al.
SERIAL NO.: 10/040,293
FILING DATE: October 29, 2001
TITLE: Privacy and Identification in a Data Communications Network
ART UNIT: 2151
EXAMINER: Unassigned

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313- 1450, on the date printed below:

Date: 9/24/03

Name: Monica Pizarro
Monica Pizarro

OFFICE OF INITIAL PATENT EXAMINATION'S
FILING RECEIPT CORRECTIONS
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

REQUEST TO CORRECT FILING RECEIPT

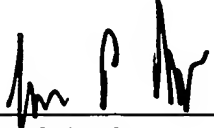
It is respectfully submitted that the Updated Filing Receipt for the above-identified patent application has an error. The correct title is "**Privacy and Identification in a Data Communications Network**" and not "Privacy and Identification in a Data" as referenced in the updated filing receipt. Please amend the updated filing receipt to reflect the correct title. A copy of the preliminary amendment filed February 25, 2002, the transmittal letter and postcard are attached along with a copy of the updated filing receipt showing the requested change. Also attached is a response to request for corrected filing receipt mailed May 31, 2002.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: _____

9/24/03



John P. Schaub
Reg. No. 42,125

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Serial/Patent No.: 10/040,293

Filing/Issue Date: 10/29/01

Applicant: de Jong, et al.

Title: Privacy and Identification in a Data Communications Network

TRP Docket No.: SUN-P7015

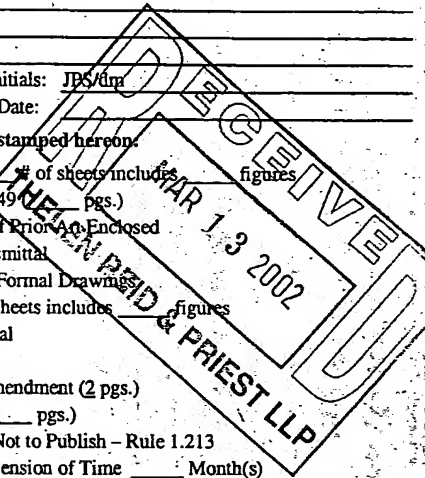
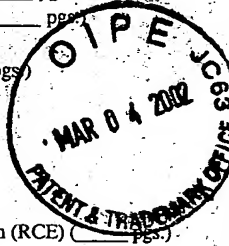
Atty/Secty Initials: JPS/dm

Date Mailed: 2-25-02

Docket Due Date:

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon.

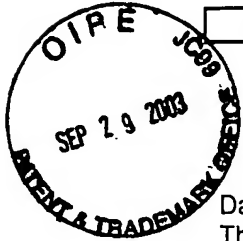
- | | |
|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input type="checkbox"/> Drawings: ____ # of sheets includes ____ figures |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input type="checkbox"/> IDS & PTO 1449 (____ pgs.) |
| <input type="checkbox"/> Application - Utility (____ pgs. with cover & abstract) | <input type="checkbox"/> ____ Pieces of Prior Art Enclosed |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Application - Rule 1.53(b) Division (____ pgs.) | <input type="checkbox"/> Submission of Formal Drawings: ____ # of sheets includes ____ figures |
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| <input type="checkbox"/> Application - Rule 1.53(d) CPA (____ pgs.) | <input checked="" type="checkbox"/> Postcard |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input checked="" type="checkbox"/> Preliminary Amendment (2 pgs.) |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input type="checkbox"/> Reply Brief (____ pgs.) |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Req and Cert. Not to Publish - Rule 1.213 |
| <input type="checkbox"/> Certificate of Correction | <input type="checkbox"/> Request for Extension of Time ____ Month(s) |
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| <input type="checkbox"/> Declaration & POA (____ pgs.) | <input type="checkbox"/> Copy of PTO Notice to File Missing Parts |
| <input type="checkbox"/> Fee Transmittal | <input checked="" type="checkbox"/> Transmittal Letter (original & copy) |
| <input type="checkbox"/> Request for Continued Examination (RCE) (____ pgs.) | <input type="checkbox"/> Express Mail No.: |
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| | <input type="checkbox"/> Deposit Acct. No. 50-1698 \$ |
| | Patent Code: |
| | Client/Matter # 811173 - 230 |





UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/040,293	10/29/2001	Eduard K. de Jong	SUN-P7015

David B. Ritchie
Thelen Reid & Priest, LLP
P.O. Box 640640
San Jose, CA 95164-0640

CONFIRMATION NO. 9836



OC000000008216475

Date Mailed: 05/31/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Title of Invention

In response to your request for a corrected Filing Receipt, the Office cannot comply with your request because:

- ☐ The articles such as "a," "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- ☐ The words "new," "improved," "improvement of," "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606.
- ☐ The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- ☐ Superscript and subscript are not possible. These characters will appear on the same line.
- ☐ The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.
- ☒ The title of the invention was captured as provided by applicant on the first page of the specification. An amendment is needed to make this change.

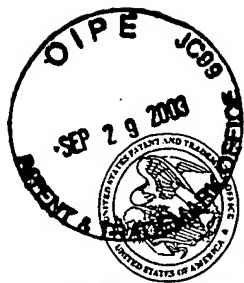
Preliminary Amend was sent 2/25/02 (Send copies with this notice)

Nancy Kravopas

Customer Service Center
Office of Initial Patent Examination
(703) 308-1202

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Excel _____

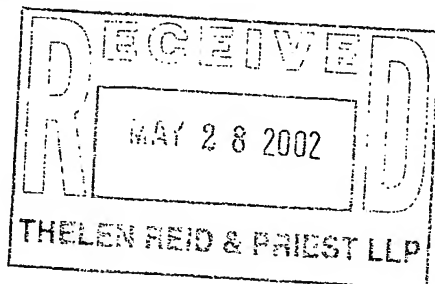


UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/040,293	10/29/2001	2151	2214	SUN-P7015	55	19	19

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 San Jose, CA 95164-0640



CONFIRMATION NO. 9836

UPDATED FILING RECEIPT



OC00000008148320

Date Mailed: 05/17/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Eduard K. de Jong, San Mateo, CA;
 Moshe Levy, Sunnyvale, CA;
 Albert Y. Leung, San Jose, CA;

Assignment For Published Patent Application

Sun Microsystems, Inc., a Delaware Corporation;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/04/2002

Projected Publication Date: 05/01/2003

Non-Publication Request: No

Early Publication Request: No

Reviewed By: [Signature] Date: 8/13/02
 No Action Required:
 Action Required: 1 TITLE
need to amend.

Title

Privacy and identification in a data

**Communications
 Network**

CPI [Signature]Excel

Preliminary Class

709

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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